FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | |
|--------------|---|
| | _ |

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

ONE LOMBARD STREET, SUITE 200

(State)

1. Name and Address of Reporting Person*

94111

(Zip)

(Street)

(City)

SAN FRANCISCO CA

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name an | d Address of | Reporting | Person* | | | | | | | | | Symbol | | | | | | g Person(s) to I | ssuer |
|-------------------------|------------------------|-------------------|---------|------------------|---------------------------------|--------------------------|-----------------|---------------------------------------|---------|------------------------|---------|----------------------------|--------------------------|---------------------------------------------------------------------------------------------|--------------|------------------|---------------------------|-------------------------------------------------------------------|-----------------------------------------------------|
| EPIQ Capital Group, LLC | | | | | | Cortexyme, Inc. [CRTX] | | | | | | | | (Check all applicable) Director Officer (give title below) 10% Owner Other (specify below) | | | | | |
| (Last) (First) (Middle) | | | | - | | | | | | | | | | | | | | | |
| (Last) | , | , | , | Middle) | | | oate of $09/20$ | | t Trans | action (| Month | n/Day/Year) | | | | | • | mber of 10% | ′ I |
| ONE LO | MBARD S | TREET, S | SUITE 2 | 200 | | | 03/2 | J15 | | | | | | | | | | | |
| (Street) | | | | | | 4. If | Ame | ndment, | Date o | of Origin | al File | ed (Month/Da | ay/Year) | | 6. Indi | vidual o | r Joint/Group | Filing (Check / | Applicable |
| SAN | C | Δ | Q | 4111 | | | 21/20 | | | Ü | | ` | , | | Line) | | · | e Reporting Per | |
| FRANCI | SCO C | | J | 4111 | | | | | | | | | | | | Form | n filed by Mor | e than One Re | |
| (City) | (S | tate) | (2 | Zip) | | | | | | | | | | | | Pers | on | | |
| | | | Table | e I - No | on-Deriv | /ative | Sec | uritie | s Ac | quired | d, Dis | sposed o | f, or E | Benefi | cially | Owne | ed | | |
| 1. Title of S | Security (Ins | tr. 3) | | | 2. Transac Date (Month/Da | | Exe | Deemed ecution I ny onth/Day | Date, | 3. Transa Code (| | 4. Securitie Disposed C | | | | Secur Benef | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | | (IVIC | лип/Day | rrear) | 8) Code | v | Amount | (A) o | r _{Pric} | e | Repor Transa | ted action(s) | (i) (iiisti. 4) | (Instr. 4) |
| | | | | | | | + | | | - | ľ | 1 | (D) | | | (Instr. | 3 and 4) | | D 14 |
| | | | | | | | | | | | | | | | | | | | By Mr. Boeding |
| | | | | | | | | | | | | | | | | | | | as |
| Common | Stock | | | | 08/20/ | 2019 | | | | P | | 600 | A | \$2 | 25.69 | 2,8 | 43,210(3) | I ⁽¹⁾ | Trustee for |
| | | | | | | | | | | | | | | | | | | | Boeding |
| | | | | | | | | | | | | | | | | | | | Family |
| | | | | | | | + | | | _ | | | 1 | | | <u> </u> | | - 40/00 | Trust |
| Common | Stock | | | | 08/20/ | 2019 | _ | | | P | _ | 2,310 | A | \$2 | 25.69 | 2,8 | 45,520 ⁽³⁾ | D ⁽¹⁾⁽²⁾ | |
| | | | | | | | | | | | | | | | | | | | By Mr. Boeding |
| | | | | | | | | | | | | | | | | | | | as |
| Common | Stock | | | | 08/21/ | 2019 | | | | P | | 420 | A | \$24 | 4.8685 | 2.8 | 45,940 ⁽³⁾ | T (1) | Trustee |
| <u> </u> | otocii | | | | 00/21/ | _010 | | | | | | | | - | | _, | .5,5 .0 | | of Boeding |
| | | | | | | | | | | | | | | | | | | | Family |
| | | | | | | | | | | | | | | | | | | | Trust |
| Common | Stock | | | | 08/21/ | 2019 | | | | P | | 1,635 | A | \$24 | 4.8685 | 2,8 | 47,575 ⁽³⁾ | D ⁽¹⁾⁽²⁾ | |
| | | | Ta | ble II - | | | | | | | | osed of, | | | | wned | | | |
| 1. Title of | 2. | 3. Transac | etion | 3A. Dee | | uts, c | ans, | 5. Nu | - | | | convertib | 7. Title | | - | rice of | 9. Number o | f 10. | 11. Nature |
| Derivative Security | Conversion or Exercise | Date (Month/Da | | Execution if any | | Transa Code (| | of Deriv | | Expirat (Month | ion Da | ate | Amour | nt of | Der | ivative urity | derivative Securities | Ownership Form: | of Indirect Beneficial |
| (Instr. 3) | Price of Derivative | (c.iii.ii.zuy/ i | .,,, | (Month/I | Day/Year) | 8) | , | Securities Acquired | | (| ,_u,,. | · · · · · · · | Underlying Derivative | | | (Instr. 5) | Beneficially Owned | Direct (D) or Indirect | Ownership (Instr. 4) |
| | Security | | | | | | | (A) or Dispo | osed | | | | | ty (Instr. | . 3 | | Following Reported | (I) (Instr. 4) | ` |
| | | | | | | | | of (D) | . 3, 4 | | | | | | | | Transaction (Instr. 4) | (s) | |
| | | | | | | | | and 5 |) | | | 1 | _ | Amoui | _ | | | | |
| | | | | | | | | | | | | | | or Number | | | | | |
| | | | | | | Code | v | (A) | (D) | Date Exercis | sable | Expiration Date | Title | of Shares | | | | | |
| 1. Name an | d Address of | Reporting | Person* | | | | | | | | | | | | | | | | |
| | Capital G | | | | | | | | | | | | | | | | | | |
| | | /= : 0 | | | | | - | | | | | | | | | | | | |
| (Last) | | (First) | | (Mi | ddle) | | | | | | | | | | | | | | |

| Boeding Chad | | | | | | |
|-------------------------------------------------------|---------|-------|--|--|--|--|
| (Last) (First) (Middle) ONE LOMBARD STREET, SUITE 200 | | | | | | |
| (Street) SAN FRANCISCO | CA | 94111 | | | | |
| (City) | (State) | (Zip) | | | | |

Explanation of Responses:

- 1. The reporting persons are EPIQ Capital Group, LLC ("EPIQ") and Chad Boeding. EPIQ is the investment adviser and managing member of EPQ LLC, CTYM PS ("CTYM") and CTEPQ Partners LLC ("CTEPQ"). Together CTYM and CTEPQ beneficially own +10% of the common stock of the issuer. Mr. Boeding is the Managing Member of EPIQ. EPIQ is filing this Form 4 on behalf of itself and Mr. Boeding jointly, but not as a group, and each reporting person expressly disclaims membership in a group, within the meaning of Rule 13d-5(b) under the Securities Exchange Act of 1934, as amended.
- 2. Beneficially owned directly by Mr. Boeding.
- 3. Subsequent to an amended Form 3 filed 11/29/2019, the reporting persons are hereby amending Form 4 filed 8/21/2019 to amend the reported amount of securities beneficially owned for each transaction reported in Table 1.

<u>Chad Boeding</u> <u>11/29/2019</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.